

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
3 July 2003 (03.07.2003)

PCT

(10) International Publication Number
WO 03/054077 A1

(51) International Patent Classification⁷: C08L 5/00, C08J 5/18, C09D 105/00, A61K 9/70, 9/36

(21) International Application Number: PCT/CA02/01896

(22) International Filing Date:
11 December 2002 (11.12.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/338,649 11 December 2001 (11.12.2001) US

(71) Applicant (for all designated States except US): CEAPRO INC. [CA/CA]; 4046 EDC., University of Alberta, 8303-114 Street, Edmonton, Alberta T6J 2E1 (CA).

(72) Inventors; and

(75) Inventors/Applicants (for US only): REDMOND, Mark, J. [CA/CA]; 11604-92 Avenue, Edmonton, Alberta T6G 1B3 (CA). FIELDER, David, A. [CA/CA]; 9911-68 Street, Edmonton, Alberta T6A 2S6 (CA).

(74) Agents: ERRATT, Judy, A. et al.; Gowling Lafleur Henderson LLP, Suite 2600, 160 Elgin Street, Ottawa, Ontario K1P 1C3 (CA).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

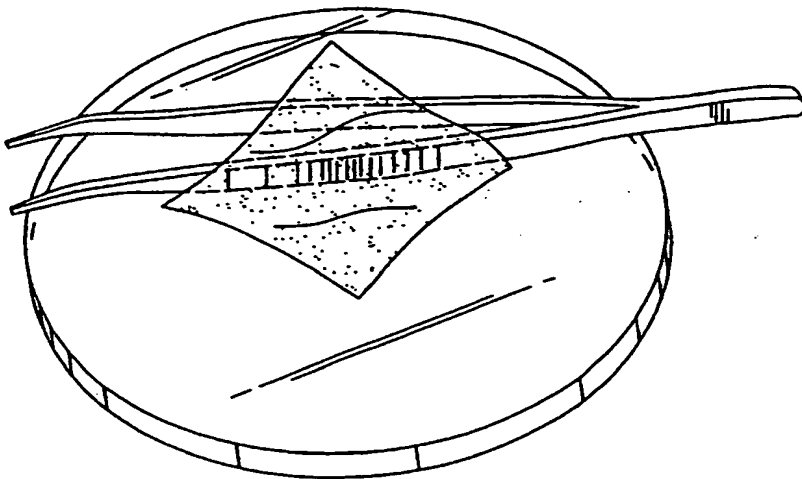
(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: CEREAL BETA GLUCAN COMPOSITIONS, METHODS OF PREPARATION AND USES THEREOF



(57) Abstract: Cereal $\beta(1\rightarrow3)$ $\beta(1\rightarrow4)$ glucan is used as a film or coating agent to produce clear, edible, biodegradable, delivery, lubricating, and protecting agents. Cereal $\beta(1\rightarrow3)$ $\beta(1\rightarrow4)$ glucans are distinctive polymers of glucose differentiated from other polymers by not only their source but also their physicochemical properties. The $\beta(1\rightarrow3)$ $\beta(1\rightarrow4)$ forms a matrix to sequester other materials, such as pharmaceutical, medical and therapeutic agents, flavours, fragrances. The technology has applications to essential oils and non-aqueous materials that are rendered deliverable by the $\beta(1\rightarrow3)$ $\beta(1\rightarrow4)$ glucan. The $\beta(1\rightarrow3)$ $\beta(1\rightarrow4)$ glucan films described may be consumed whereby they dissolve in the mouth in a controlled manner and may be used for the

delivery of pharmaceutical, medical or confectionery products.

WO 03/054077 A1

CEREAL BETA GLUCAN COMPOSITIONS, METHODS OF PREPARATION AND USES THEREOF

TECHNICAL FIELD

5

The present invention relates generally to cereal $\beta(1-3) \beta(1-4)$ glucans. More particularly, the invention relates to methods for producing thin-films and coatings prepared from oat $\beta(1-3) \beta(1-4)$ glucans. The present invention also relates to compositions containing cereal $\beta(1-3) \beta(1-4)$ glucans together with other
10 compounds such as pharmaceutical, medical and therapeutic agents; flavours; or fragrances to name only a few.

Also included are novel application methods of thin films and coatings which may be sprayed, dusted, or dip-coated onto products. Of significance are enteric
15 coatings, protective coatings against breakage or environmental hazard exposure. This technology has the added benefit of binding materials at lower temperatures and has particular application to materials that are irregularly shaped and otherwise difficult to wrap.

20

BACKGROUND OF THE INVENTION

Gums are either hydrophobic or hydrophilic substances of molecular weight ranging from 10,000 to 50,000,000 Daltons, that in an appropriate solvent produce gels or highly viscous suspensions or solutions at low dry substance content. Gums
25 commonly used in food, medicine, and industrial products include starches, cellulose derivatives, pullulan, agar, aloe, gellan, guar gum, locust bean gum, pectin, algin, carrageenan, xanthan, beta glucan, and gum arabic (see Whistler, R.L. (1993) *Industrial Gums: Polysaccharides and their derivatives* Eds. WhistlerRL and BeMiller J.N. (Academic Press) pp2).

30

Glucans are homopolysaccharides consisting only of glucose. However, since it is possible to link the glucose molecules in different stereochemical conformations,

glucans are a diverse group of compounds with differing chemical, physical, and functional properties.

Chemical structures of polysaccharides are of prime importance in
5 determining their properties. This can be appreciated by comparing the common properties of some common homoglucons. Thus, cellulose, a (1-4)- β -D-glucan, is water insoluble and highly crystalline compared to other polysaccharides. Amylose, a (1-4)- α -D-glucan, is sparingly soluble in water, crystallizes less well than cellulose, and can form rigid thermo-reversible gels. Dextran, a (1-6)- α -glucan, with a small
10 degree of branching, is extremely water soluble and non-gel forming. (See Dea, I.C.M. in (1993) *Industrial Gums: Polysaccharides and their derivatives* Eds. Whistler RL and BeMiller J.N. (Academic Press) pp21.).

Oat β (1-3) β (1-4) glucan is classified as a viscous gum, (see Wood, PJ
15 (1993) *Oat Bran* Ed PJ Wood (American Association of Cereal Chemists, Inc. St. Paul, MN)). Cereal β (1-3) β (1-4) glucan are structural polysaccharides present in the cell wall of cereals as barley and oat, among others.

Oat β (1-3) β (1-4) glucan is recognised by the U.S. FDA as an agent that
20 may aid the prevention of heart disease. In 1997, the FDA allowed oat products to make a health claim. It is important to note that no other beta glucan source, yeast, fungal, bacterial, or cereal are recognised as having these effects and makes an important point that it is the view of those skilled in the scientific and medical art that beta glucans are distinct and a structure function relationship exists.

25

Unmodified oat β (1-3) β (1-4) glucan forms highly viscous solutions in water at concentrations $>0.75\%$. At concentrations $>1.2\%$ the solutions have the consistency of a thick hydrogel.

30 Glucans of significantly different molecular structure and with different physical and chemical properties compared to oat are found in yeast, fungi, and certain bacteria and genetically engineered bacteria. For example, gellan, polymeric (1-3) β -D-glucopyranosyl [β (1-3) glucan] produced in *Alcaligenes faecalis* is found

in Curdlan J (Takeda Chemical Ind. Ltd.), β (1-4) α (1-6) glucopyranoside produced in *Aureobasidium pullulans* found in pullulan, and β (1-3) β (1-6) glucopyranoside found in yeast.

- 5 The molecular weight of the glucans varies with source. Table 1 shows the average molecular weight of typical gums.

Table 1: Typical Molecular Weight Range of Common Gums

GUM	AVERAGE MOLECULAR WEIGHT
Oat β (1-3) β (1-4) glucan	500,000 - 1,000,000
Pullulan	50,000 - 100,000
Curdlan	~500,000
Methyl cellulose	10,000 - 200,000
Carrageenan	4,500,000
Xanthan	15,000,000 - 50,000,000
Sodium alginate	10,000 - 18,000,000

- 10 Viscosity of a 1% solution of different polysaccharide gum solutions varies with origin and thus chemical nature. Table 2 shows the viscosity of 1% solutions of typical gums.

**TABLE 2: Typical Viscosity Ranges of 1% Solutions of Common Gums,
Measured at 25°C**

GUMS	1% SOLUTION VISCOSITY, cP
Oat β (1-3) β (1-4) glucan	500-1000
Pullulan	2
Gum arabic	1-5
Methyl cellulose	200
Tamarind gum	100-200
Guar gum	2,000-3,000
Locust bean gum	2,000-3,000
Xanthan	2,000-3,000
Sodium alginate	200-700

- 5 High viscosity and solution pouring effects are undesirable in pouring films and in mixing formulations. Typically heat $>50^{\circ}\text{C}$ is required to ensure fluidity in mixing and pouring. The high viscosity of native β (1-3) β (1-4) glucan teaches away from the use of these materials in the preparation of films.
- 10 The solubility properties of glucans differ according to their source. For example cereal β (1-3) β (1-4) glucans are normally soluble in aqueous solvents, whereas yeast (*Saccharomyces cerevisiae*) β (1-3) β (1-6) are insoluble in aqueous solvents. Soluble glucans are desirable. Yeast beta glucan has been solubilized by the addition of phosphate groups (see Williams et al. *Immunopharmacol.* 22: 139-156
- 15 (1991)0. Jamas et al. (U.S. Patent No. 5,622,939) describes methods to extract soluble beta glucan from *Saccharomyces cerevisiae*. The method described is complex involving acid hydrolysis, base hydrolysis and the extensive use of centrifugation and ultrafiltration. No details are provided as to the stability of the solubilized yeast β (1-3) β (1-6) glucan.

Beta glucans affect the viscosity and hence the effectiveness of products derived from these sources. For example, beta glucans appear to influence digestion, assist in glucoregulation, and lower serum cholesterol. Cereal beta glucans are useful nutritional agents and have also been used as bulking agents in place of sucrose. Beta glucans have also been described as potent immune system stimulants and promote the healing of wounds (Yun et al. *Int. J. Parasitol.* (1997) 27:329-337; Estrada et al (1997)). The immune stimulatory activity of oat β (1-3) β (1-4) glucan is described by Estrada *et al* (1997, *Microbiol. Immunol.* 41:991-998). Differences in immune stimulation between β glucans from different sources are described.

10

Williams et al. (U.S. Patent No. 5,676,967) describe a wound healing gauze for use in skin loss injuries and burns. The gauze described presents a combination oat β (1-3) β (1-4) glucan and collagen presented on a rigid support mesh. Self-supporting films of β (1-3) β (1-4) are not described.

15

Cereal β (1-3) β (1-4) glucan has also been used as a suspending solution for biocompatible particles for tissue injection (Lawin et al. U.S. Patent No. 5,451,406).

Redmond (U.S. Patent No. 6,284,886) describes methods and compositions of solutions of cereal β (1-3) β (1-4) glucan. The described compositions meet the restricted requirements of cosmetics industry which favours the use of beta glucan for its viscosity, shear strength, and moisture enhancing properties. No film or dry glucan preparations are described.

U.S. Patent No. 6,323,338 discloses a method of isolating oat beta glucan as an enriched skin from an extract of oat bran. This reference does not disclose films comprising cereal beta glucan and a compound of interest, or a method of formulating these films.

There are a number of disclosures on the use of thin films made from a variety of polysaccharide material. These prior art references include for example: Japanese applications JP 5-236885 and JP 5-1198; U.S. Patent Nos. 5,518,902; 5,411,945; 4,851,394; 3,784,390; 4,623,394 and International PCT publications WO 99/17753;

WO 98/26780; WO 98/20862; WO 98/26763 and WO 00/18365. These prior art thin film products can also include a variety of ingredients, for example antibacterial agents, flavouring agents, other polysaccharides and pharmaceutically active substances.

5

According to the present invention it has been found that the use of cereal β (1-3) β (1-4) glucan was advantageous in comparison with other gums since it formulates at lower percentages and surprisingly thin films are more easily formed with either no need for or reduced need for other gums in the finished formulation.

10 Central to the present invention is the surprising ability of β (1-3) β (1-4) glucan to sequester hydrophobic materials and alcoholic extracts. This capacity lends itself to the remarkable oil carrying ratios of cereal β (1-3) β (1-4) glucan.

Edible films to date have been manufactured from lower molecular weight
15 gums since the higher molecular weight gums typically have a high viscosity of solution which makes thin films difficult to obtain. The advantage of using oat beta glucan is that lower percentages of gel can be used in film formation. Further, with these lower percentages, the material may be poured cool which has a protective effect on associated materials such as volatile oils and pharmaceutical compounds
20 which may be temperature sensitive.

SUMMARY OF THE INVENTION

In one aspect, the present invention provides a film comprising:

5 a β (1-3) β (1-4) glucan, and

an effective amount of one, or more than one compound of interest.

In another aspect, the present invention provides a method of producing a film
10 or an article coated with a film comprising:

- i) mixing an aqueous solution of a β (1-3) β (1-4) glucan with
one, or more than one compound of interest to form a
composition;
- 15 ii) drying the composition to form the film, or
- iii) applying the composition to an article, and drying the applied
composition to produce the article coated with the film.

20

In an embodiment of the method described above, after the glucan and the
compound of interest are mixed they are left undisturbed for a period of time
sufficient to form a homogeneous composition.

25 In a further embodiment of the method described above, the homogeneous
composition is a homogeneous emulsion. In another embodiment, the homogeneous
emulsion is formed in the absence of an emulsifying agent.

In another embodiment of the above-defined method, the aqueous solution is
30 formed using a glucan having a purity in the range of from about 65% to about 100%,
more preferably from about 75% to about 100%, most preferably from about 85% to
about 100%.

In a further aspect, the present invention provides an article coated with one, or more than one layers of a film comprising:

a β (1-3) β (1-4) glucan, and

5

an effective amount of one, or more than one compound of interest.

In an embodiment of the above-defined article, each one of the one, or more than one layers comprises a separate compound of interest.

10

In a further embodiment of the above-described film, the amount of the β (1-3) β (1-4) glucan in the film is from about 5 wt% to about 50 wt%.

In an even further embodiment of the above-described film, the amount of the β (1-3) β (1-4) glucan in the film is from about 10 wt% to about 35 wt%.

15

In an even further embodiment of the above-described film, the amount of the β (1-3) β (1-4) glucan in the film is from about 15 wt% to about 25 wt%.

In another embodiment of the above-described film, the amount of the one, or more than one compound of interest is from about 0.001 wt% to about 50 wt%.

20

In a further embodiment of the above-described film, the amount of the one, or more than one compound of interest is from about 5 wt% to about 35 wt%.

25

In an even further embodiment of the above-described film, the amount of the one, or more than one compound of interest is from about 10 wt% to about 25wt%.

In another embodiment, the above-defined film is a self-supporting film.

30

In another embodiment of the above-defined film, the glucan is derived from a cereal grain or a part of a cereal grain. Preferably, the cereal is selected from the group consisting of a cultivar of barley, a cultivar of oat, a cultivar of wheat, a cultivar

of rye, a cultivar of sorghum, a cultivar of millet, a cultivar of corn, and a mixture thereof.

In another embodiment of the film defined above, the one, or more than one
5 compound of interest is selected from the group consisting of a pharmaceutical agent, an antimicrobial agent, a flavouring agent, and a mixture thereof.

In a further embodiment of the film defined above, the one, or more than one
compound of interest is a pharmaceutically active agent selected from the group
10 consisting of a non-steroidal anti-inflammatory drug, an anti-tussive, a decongestant, an anti-histamine, an expectorant, an anti-diarrheal, an H₂-antagonist, a nonselective central nervous system depressant, a nonselective central nervous system stimulant, an agent that selectively modifies central nervous system function, a drug for treating Parkinson's disease, a narcotic-analgesic, an analgesic-antipyretic, a
15 psychopharmacological agent, and a mixture thereof.

In an even further embodiment of the film defined above, the one, or more
than one compound of interest is an antimicrobial agent selected from the group
consisting of triclosan, cetyl pyridinium chloride, sanguinarine, domiphen bromide, a
20 quaternary ammonium salt, a zinc compound, a fluoride, alexidine, octonideine, EDTA, silver nitrate, thymol, methyl salicylate, eucalyptol, menthol, and a mixture thereof.

In further embodiments, the article described above is a pharmaceutical
25 formulation in the form of a tablet or capsule; a stent; a tissue paper; or dental floss.

The present invention also relates to a pharmaceutical formulation comprising
the film defined above. Preferably, the pharmaceutical formulation is a patch suitable
for delivery of one, or more than compound of interest.

30

BRIEF DESCRIPTION OF THE DRAWINGS

These and other features of the invention will become more apparent from the
following description in which reference is made to the appended drawings wherein:

FIGURE 1 shows a composition comprising oat β (1-3) β (1-4) glucan, which is used to form the film of the present invention.

5 **FIGURE 2** shows an example of a film produced according to the present invention.

DESCRIPTION OF PREFERRED EMBODIMENT

10 The practice of the present invention will employ, unless otherwise indicated, conventional methods of chemistry, cereal chemistry, and biochemistry, within the skill of the art. Such techniques are explained fully in the literature. See for example, *Industrial Gums: Polysaccharides and their derivatives* Eds. Whistler RL and BeMiller J.N. (Academic Press), *Oats: Chemistry and Technology* ed. Webster FH
15 (American Association of Cereal Chemists, St. Paul, MN).

All publications, patents, and patent applications cited herein, whether *supra* or *infra*, are incorporated by reference in their entirety.

20 As used in this specification and the appended claims, the singular forms "a", "an" and "the" include plural references unless the content clearly indicates otherwise.

Definitions

25 In describing the present invention, the following terms will be employed, and are intended to be defined as indicated below.

By "cereal" is meant any of several grains such as, but not limited to, cultivars of barley, oat, wheat, rye, sorghum, millet, and corn.

30 By "glycan" is meant a polymer of monosaccharides linked together by glycosidic bonds.

By "glucan" is meant a homopolysaccharide consisting only of glucose.

By "cereal beta glucan" is meant a glucan with a β (1-3)-linked glucopyranosyl backbone, or a β (1-4)-linked glucopyranosyl backbone, or a mixed β (1-3) β (1-4)-linked glucopyranosyl backbone, which is derived from a cereal source.

By " β (1-3) β (1-4) glucan" is meant a cereal β glucan.

By "gum" is meant a plant or microbial polysaccharide or their derivatives that are dispersible in either cold or hot water to produce viscous mixtures or solutions. Gums may be classified by origin: exudate gums, seaweed gums, seed gums, starch and cellulose derivatives, and microbial gums.

By "compound of interest" is meant any pharmaceutical, medical, therapeutic, flavouring, fragrance or other functional materials mixed with the β (1-3) β (1-4) glucan to produce a coatable composition or thin-film.

By "effective amount" is meant the amount of the one, or more than one compound of interest necessary to achieve a desired effect, such as a physiological effect, or a stimulatory effect.

By "sequester" is meant the incorporation, entrapment, or solubilization of hydrophilic compounds, or hydrophobic compounds, for example, small molecular weight hydrophobic compounds, such as essential oils, pharmaceutical, medical, and therapeutic agents.

In a preferred embodiment, the present invention provides a method of forming a film or an article coated with a film, the film comprising a β (1-3) β (1-4) glucan and one, or more than one compound of interest, the method comprising:

30

- i) mixing an aqueous solution comprising about 0.01 wt% to about 4.0 wt% of a β (1-3) β (1-4) glucan with one, or more than one compound of interest to form a composition;

- ii) drying the composition to form the film, or
- iii) applying the composition to an article, and drying the applied composition to produce the article coated with the film.

The method of the present invention can be used to provide films and coatings for use in cosmetic, confectionery, medical and pharmaceutical preparations.

10 The aqueous solution used in the method of the present application preferably contains the beta glucan in an amount of from about 0.01 wt% to about 1.2% wt%, more preferably about 0.1 wt% to about 1.1 wt%, most preferably about 0.5 wt% to about 1 wt%.

15 The beta glucan solution is preferably mixed with about 0.01 wt% to about 4.0 wt%, more preferably about 0.01 wt% to about 1.2% wt%, even more preferably about 0.1 wt% to about 1.1 wt%, most preferably about 0.5 wt% to about 1 wt% of one, or more than one compound of interest.

20 It is preferred that the beta glucan solution used in step (i) of the method of the present invention be prepared from a beta glucan having a purity of from about 65% to about 100%, more preferably from about 75% to about 100%, most preferably from about 85% to about 100%. It is particularly preferred that the beta glucan contain less than 35%, more preferably less than 25%, most preferably less than 15% of an
25 impurity selected from the group consisting of a protein, a lipid, a carbohydrate, a particulate, and a mixture thereof.

If the compositions of the method of the present invention are to be used in the preparation of confectionery items, pharmaceutical compositions, or other related
30 formulations, it is preferred that the beta glucan solution used in step (i) of the method be prepared from a beta glucan containing less than 20%, more preferably less 15%, even more preferably less than 10%, most preferably less than 5% of impurities, such as protein, lipid, carbohydrate, and particulate impurities.

Cereal beta glucans suitable for use in the method of the present invention are available in powdered form from several commercial suppliers, such as Sigma Chemical Co. (St. Louis, MO) and Ceapro Inc. (Edmonton, AB, Canada). Solutions of beta glucan can be prepared in the manner described in U.S. Patent No. 6,284,886.

- 5 If the films are for use in confectionery, pharmaceutical, or other related applications, then the preservatives used in the method described in U.S. Patent No. 6,284,886 should be one that is approved for human consumption and pharmaceutical use, such as, but not limited to potassium sorbate, sorbic acid, benzalkonium chloride, and parabens.

10

- In the method of the present invention, it is preferred that the composition comprising the beta glucan and the compound of interest, as illustrated in Figure 1, be left undisturbed after the step of mixing (step i) for a period of time sufficient to allow the compound of interest to become completely sequestered by the glucan, so that a
- 15 homogeneous composition comprising the glucan and the compound of interest is formed. In many cases, the amount of time required to obtain a homogeneous composition is from about 8 to about 16 hours. It is to be appreciated, however, that shorter or longer periods of time may be required, depending on the quantity and purity of the glucan used, as well as on the quantity and nature of the compound of
- 20 interest. The homogeneous composition can be poured to different depths of a mold and dried or allowed to dry to a thin film. The dried films can then be cut into pieces of a desired size.

- The composition can be allowed to air dry, can be dried with the application of
- 25 heat, or can be dried under vacuum. The specific conditions that are used to dry the composition are dependent, however, on the nature of the compound of interest that has been sequestered by the glucan. For example, if the compound of interest is volatile, then the suspension can be allowed to air dry under ambient conditions, or can be dried using a low amount of heat.

30

Hydrophobic compounds, hydrophilic compounds and alcoholic extracts can all be sequestered by the beta glucan used in the method of the present invention. In particular, hydrophobic compounds having a molecular weight of <1500 MW, which are not appreciably soluble in aqueous solutions can be readily solubilized by the beta

glucans used in the method of the present invention. Examples of such small molecular weight compounds include, without limitation, avenanthramides, flavonoids, saponins, vitamins, antibiotics, and other water insoluble drugs.

5 Generally, if the compound of interest is an oil or a hydrophobic material then the homogeneous composition is in the form of a homogeneous suspension or a homogeneous gel. Without wishing to be bound by theory, the formation of the homogeneous suspension or gel is caused by encapsulation of the oil or hydrophobic material by the beta glucan, and subsequent formation of hydrogen bonds between
10 molecules of the oil or hydrophobic material and the beta glucan. Agitation of the gel generally converts it into a less viscous homogeneous suspension that can be poured to different depths of a mold. Generally, no additional emulsifying agent is required to prepare the homogeneous suspension of the present invention, as the beta glucan itself effectively acts as an emulsifying agent. If the compound of interest is a
15 hydrophilic material, hydrogen bond formation can take place between the backbone of the beta glucan and the the compound of interest, resulting in a homogeneous composition that can be in the form of a solution, or a viscous gel.

 Other methods for forming the films of the present invention, such as the one
20 described in U.S. Patent No. 4,562,020, the disclosure of which is incorporated herein by reference, are known to those skilled in the art.

 The films produced according to the method of the present application can be self-supporting independent structures, or can form one, or more than one layer on a
25 support material, for example, a pharmaceutical formulation, or an edible material, such as, and without limitation to a confectionery item.

 The films of the present invention can be prepared in a variety of thicknesses. The specific thickness of the film that is prepared according to the present invention
30 will be dependent, however, on the particular application to which it is directed. For example, if the films of the present application are self-supporting, their thickness can range from about 1 μm to about 10 μm , more preferably from about 1 μm to about 4 μm . If the films of the present application are used as a coating on an article, then their thickness can range from about 0.001 μm to about 2 μm , more preferably from

about 0.01 μm to about 0.1 μm . An example of a self-supporting film according to the present invention is illustrated in Figure 2.

5 In an embodiment of the film of the present invention, the amount of the β (1-3) β (1-4) glucan in the film is from about 5 wt% to about 50 wt%. In a further embodiment, the film comprises from about 10 wt% to about 35 wt% β (1-3) β (1-4) glucan. In an even further embodiment, the film comprises from about 15 wt% to about 25 wt% β (1-3) β (1-4) glucan.

10 In another embodiment of the film of the present invention, the amount of the one, or more than one compound of interest in the film is from about 0.001 wt% to about 50 wt%. In a further embodiment, the amount of the one, or more than one compound of interest in the film is from about 5 wt% to about 35 wt%. In an even further embodiment, the amount of the one, or more than one compound of interest in
15 the film is from about 10 wt% to about 25 wt%.

The composition formed in the method of the present invention can be used to coat an article, by applying the composition as an aerosol spray to the article, or by dipping the article in the composition. These types of application are particularly
20 useful for coating non-easily wrapped or enrobed objects, or delicate or sensitive materials, especially those that are temperature or moisture sensitive. Aerosol application is also particularly useful in applying a thin film of coating to absorbent surfaces, particularly tissue for facial or toilet applications.

25 The composition formed in the method of the present invention can also be processed into a fiber. For example, fibers prepared from the compositions of the present invention, which contain an anti-microbial agent, can be used to form dental floss or surgical sutures. Alternatively, the compositions can be applied to conventional dental floss or surgical sutures, and allowed to dry to a film.

30

Cereal beta glucan is considered to be a mucilaginous gum with inherent slippery characteristics. The slip property of the cereal beta glucan component in the

compositions of the present invention can be used to ease the insertion of stents or other medical devices coated with a film derived from these compositions.

By incorporating other ingredients in the compositions of the present invention, or by modifying the manner in which these compositions are dried, the films resulting from these compositions can demonstrate an adhesive property, which is useful in binding materials to the mouth, tongue, gums, skin, or a wound.

Film formulations can be modified to produce different physical properties. By adjusting the amounts and types of the constituents of the film, the film thickness and drying times, films can be produced that range from being pliable to brittle. For instance, the addition of powdered fructose to the compositions of the present invention can produce a hard film. Alternatively, unsweetened hard films can be produced by means of rapid drying using vacuum drying techniques.

15

Similarly, the release kinetics of a compound of interest contained within the films of the present invention can be adjusted by modifying the composition of the films of the present invention or by changing the degree to which they are dried. This property is of importance in a number of pharmaceutical or medical applications.

20

The films of the present invention are preferably readily dissolvable and can act as a vehicle for administering a pharmaceutically active agent through a mucous membrane or an open wound of a patient. The films of the present invention can also be used as an enteric coating on formulations that are in the form of a tablet or capsule. As an example, these films can contain flavouring agents that mask the taste of the active ingredient in the formulation or prevent the active ingredient from numbing the tongue or other surfaces of the oral cavity.

Tactile and sensory "popping" may be incorporated into films of the present invention to produce items for use in the confectionery industry. The popping characteristic can be introduced into these films by adding air into the compositions of the present invention after a thickening agent, such as carageenan, has been added, and, optionally by lengthening the drying time of these compositions. Aeration can

30

be achieved by rapid whisking of the solution prior to pouring. Alternatively, air can be bubbled into the solution until the desired consistency is obtained.

5 The films of the present invention can also be used as a laminate or coating for an edible material. As an example, different coatings comprising different flavouring agents can be applied to an edible material, so that different flavours are released at different times when the laminated edible material is dissolved in the mouth. This property is of particular interest to the confectionery industry. Therefore, the films and coatings of the present invention can be of use in nutraceuticals. In addition, the
10 films of the present invention can also be used as a source of dietary fibre.

The films of the present invention can not only be taken orally, but can also be used as a topical patch to deliver one, or more than one compound of interest, such as pharmaceutically active compounds. In another embodiment, the film of the present
15 invention may form part of an existing patch that is suitable for delivering one, or more than one compound of interest.

In another aspect of the present invention, there is provided a sweetener composition comprising:

20 a β (1-3) β (1-4) glucan, and
an effective amount of a sweetener.

In a preferred embodiment of the above-defined sweetener composition, the sweetener is effective in producing a favourable stimulatory response in the absence
25 of other sweeteners.

In a particularly preferred embodiment of the above-defined sweetener composition, the sweetener is Acesulfame-K.

30 The beta glucan content of the films of the present application can be determined using a number of methods, known to those skilled in the art. For example, beta glucan content can be assessed colorimetrically and/or by standard analytical techniques such as size exclusion chromatography and HPLC (see Wood et al. *Cereal Chem.* (1977) 54:524; Wood et al. *Cereal Chem.* (1991) 68:31-39; and

Wood et al. *Cereal Chem.* (1991) 68:530-536). Beta glucans can also be analyzed enzymatically using commercially available kits, such as Megazyme (Ireland) employing the techniques of McCleary and Glennie-Holmes *J. Inst. Brew.* (1985) 91:285.

5

Viscosities can be measured with a rotational, shear-type viscometer such as the Brookfield Syncro-Lectric or the Haake Rotovisco. Methods of using the instrument are known to those skilled in the art. Routinely, measurements are made at four speeds of disc rotation at a constant temperature of 25°C.

10

The films and coatings compositions of this invention can contain colouring agents or colourings. The colouring agents are used in amounts effective to produce the desired color. The colouring agents useful in the present invention, include pigments such as titanium dioxide, which may be incorporated in amounts of up to about 5% wt, and preferably less than about 1% wt. Colourings can also include natural food colours and dyes suitable for food, drug and cosmetic applications. These colorants are known as FD&C dyes and lakes. The materials acceptable for the foregoing spectrum of use are preferably water-soluble, and include FD&C Blue No. 2, which is the disodium salt of 5,5-indigotindisulfonic acid. Similarly, the dye known as Green No. 3 comprises a triphenylmethane dye and is the monosodium salt of 4-[4-N-ethyl-p-sulfobenzylamino) diphenyl-methylene-1-[1-N-ethyl-N-p-sulfonium benzyl)-2,5-cyclo-hexadienimine]. A full recitation of all FD&C and D&C dyes and their corresponding chemical structures may be found in the Kirk-Other Encyclopaedia of Chemical Technology, Volume 5, pp 857-884, which text is accordingly incorporated herein by reference.

25

If produced for edible consumption the coating or thin film may contain flavouring. (Those for external use need not contain flavouring). The flavourings that can be used include those known to the skilled artisan, such as natural and artificial flavours. These flavourings may be chosen from synthetic flavour, oils and flavouring aromatics, and/or oils, oleo resins and extracts derived from plants, leaves, flowers, fruits and so forth, and combinations thereof. Representative flavouring oils include: spearmint oil, cinnamon oil, peppermint oil, clove oil, bay oil, thyme oil, cedar leaf oil, oil of nutmeg, oil of sage, and oil of bitter almonds. Also useful are artificial,

30

natural or synthetic fruit flavours such as vanilla, chocolate, coffee, cocoa and citrus oil, including lemon, orange, grape, lime and grapefruit and fruit essence including apple, pear, peach, strawberry, raspberry, cherry, plum, pineapple, apricot, and so forth. These flavourings can be used individually or in a mixture. Commonly used
5 flavours include mints, for example peppermint and/or spearmint, artificial vanilla, cinnamon derivatives, and various fruit flavours, whether employed individually or in combination. Flavourings such as aldehydes and esters including cinnamyl acetate, cinnamaldehyde, citral, diethylacetal, dihydrocarvyl acetate, eugenyl formate, p-methylanisole, and so forth may be used. Generally, any flavouring or food
10 additive, such as those described in Chemicals Used in Food Processing, publication 1274 by the National Academy of Sciences, pp 63-258. Further examples of aldehydes include, but are not limited to acetaldehyde (apple); benzaldehyde (cherry, almond); cinnamic aldehydes (Cinnamon); citral i.e. (lemon, lime); neral i.e. beta citral (lemon, lime); decanal (orange, lemon); ethyl vanillin (vanilla, cream);
15 heliotropine, i.e. piperonal (vanilla, cream); vanillin (vanilla, cream); alpha-amyl cinnamaldehyde (spicy fruity flavours); butyraldehyde (butter, cheese); valeraldehyde (butter, cheese); citronellal; decanal (citrus fruits); aldehyde C-8 (citrus fruits); aldehyde C-9 (citrus fruits), aldehyde C-12 (citrus fruits); 2-ethyl butyraldehyde (berries); hexanal i.e. trans-2 (berries); tolyl aldehyde (cherry, almond);
20 veratraldehyde (vanilla); 2,6-dimethyl-5-heptenal, i.e. melonal (melon); 2-6-dimethyloctanal (green fruit); and 2-dodecenal (citrus, mandarin); cherry, grape; and mixtures thereof.

The amount of flavouring used is normally a matter of preference subject to
25 such factors as flavour type, individual flavour, and strength desired. Thus, the amount may be varied in order to obtain the result desired in the final product. Such variations are within the capabilities of those skilled in the art without the need for undue experimentation. In general, amounts of about 0.1 to about 30% wt are usable with amounts of about 2 to about 25% wt being preferred and amounts from about 8
30 to about 12% wt are more preferred.

Addition of sweeteners to the coating or film is optional if the product is for edible consumption. In general, an amount of auxiliary sweetener is utilized to provide the level of sweetness desired in a particular composition, and the amount

will vary with the sweetener selected. This amount will normally be 0.01 % to about 10 % by weight of the composition when using an easily extractable sweetener. The selection and amount of sweetener used is within the capabilities of those skilled in the art without the need for undue experimentation. Other considerations will include the interaction with flavouring.

Sweeteners will be selected either individually or as combinations of sweeteners selected from the following groups:

1. Water-soluble sweeteners are well known in the art. These include, but are not limited to, monosaccharides, disaccharides, and polysaccharides such as xylose, ribose, glucose, dextrose, mannose, galactose, fructose (levulose), sucrose (table sugar), maltose, invert sugar (glucose and fructose) partially hydrolysed starch, corn syrup solids, dihydrochalcones, monellin, steviosides, and glycyrrhizin.
2. Artificial sweeteners such as soluble saccharin salts, cyclamate salts, the sodium or calcium salt of 3,4-dihydro-6-methyl-1,2,3-oxathiazine-4-one-2,2-dioxide, the potassium salt of 3,4-dihydro-6-methyl-1,2,3-oxathiazine-4-one-2,2-dioxide (acesulfame-K), the free acid form of saccharin, and the like.
3. Dipeptide based sweeteners, such as L-aspartic acid derived sweeteners, such as L-aspartyl-L-phenylalanine methyl ester (aspartame) and materials describe in U.S. Patent No. 3,492,131.
L-alpha-aspartyl-N-(2,2,4,4-tetramethyl-3-thietanyl) -D-alaninamide hydrate, methyl esters of L-aspartyl-L-phenylglycerin and L-aspartyl-L-2,5-dihydrophenyl-glycine, L-aspartyl-2,5-dihydro-L-phenylalanine, L-aspartyl-L-(1-cyclohexen)-alanine, and the like.
4. Water soluble sweeteners derived from naturally occurring water-soluble sweeteners, such as a chlorinated derivative of sucrose (Sucralose).

5. Protein based sweeteners such as *thaumatococcus danielli* (Thaumatocin I and II).

The compositions of the present invention can also include surfactants. If surfactants are present, they can include mono and diglycerides of fatty acids and polyoxyethylene sorbitol esters, for example, Atmos 300 and Polysorbate 80. The amount of surfactant added is typically in the range of about 0.1 to about 15% by wt and preferably about 0.4 to about 5% by wt of the film/coating. Other surfactants will be known to those skilled in the art and may readily be employed without undue experimentation.

The compositions of the present invention can also include thickening agents. If thickening agents are present, they can include methylcellulose, hydroxypropyl carboxy methylcellulose (Methocel), or carboxy methylcellulose (CMC), and the like, are typically used in amounts ranging from about 0 to about 5% by wt., preferably about 0.01 to about 0.7% by wt of the film/coating.

Saliva stimulating agents can also be added to the oral care films according to the present invention. Useful saliva stimulating agents are those disclosed in U.S. Patent No. 4,820,506, which is incorporated by reference herein in its entirety. Saliva stimulating agents include food acids such as citric, lactic, malic, succinic, ascorbic, adipic, fumaric, and tartaric acids. Preferred food acids are citric, malic, and ascorbic acids. The amount of saliva stimulating agents in the film is from about 0.01 to about 12% by wt, preferably about 1 to about 10% by wt, even more preferably about 2.5% by wt. to about 6% wt.

For oral preparations it may be desirable to provide a cooling sensation on dissolving the film. Preferred cooling agents include mono-menthyl succinate, in amounts ranging from about 0.001 to about 2.0 wt %, preferably about 0.2 to about 0.4 wt %. A mono-menthyl succinate containing cooling agent is available from Mane, Inc. Other suitable cooling agents include WS3, WS23, Ultracool II, and the like.

Preferred antimicrobial agents, include for example antimicrobial agents, such as triclosan, cetyl pyridium chloride, sanguinarine, domiphen bromide, quaternary ammonium salts, zinc compounds, fluorides, alexidine, octonidine, EDTA, silver nitrate, thymol, methyl salicylate, eucalyptol, menthol and the like.

5

The expression "pharmaceutically active agents" as used herein is intended to encompass agents other than foods, which promote a structural and/or functional change in and/or on bodies to which they have been administered. These agents are not particularly limited; however, they should be physiologically acceptable and compatible with the film. Suitable pharmaceutically active agents include, but are not limited to:

Non-steroidal anti-inflammatory drugs, such as aspirin, diflunisal, fenoprofen calcium, naproxen, acetaminophen, ibuprofen, ketoprofen, tolmetin sodium, indomethacin, and the like,

15

Anti-tussives, such as benzonatate, caramiphen edisylate, menthol, dextromethorphan hydrobromide, chlophedianol hydrochloride, and the like,

Decongestants, such as pseudoephedrine hydrochloride, phenylephrine, phenylpropanolamine, pseudoephedrine sulfate, and the like,

20

Anti-histamines, such as brompheniramine maleate, chlorpheniramine maleate, carbinoxamine maleate, clemastine fumarate, dexchlorpheniramine maleate, diphenhydramine hydrochloride, diphenylpyraline hydrochloride, azatadine maleate, diphenhydramine citrate, doxylamine succinate, promethazine hydrochloride, pyrilamine maleate, tripeleminamine citrate, triprolidine hydrochloride, acrivastine, loratadine, brompheniramine, dexbrompheniramine, and the like,

25

Expectorants, such as guaifenesin, ipecac, potassium iodide, terpin hydrate, and the like,

30

Anti-diarrheals, such as loperamide, and the like,

H2-antagonists, such as famotidine, ranitidine, avenanthramide, tranilast, and the like; and proton pump inhibitors, such as omeprazole, lansoprazole, and the like,

5 General nonselective CNS depressants, such as aliphatic alcohols, barbiturates and the like,

General non-selective CNS stimulants such as caffeine, nicotine, strychnine, picrotoxin, pentylenetetrazol and the like,

10

Drugs that selectively modify CNS function such as phenyhydantoin, phenobarbital, primidone, carbamazepine, ethosuximide, methsuximide, phensuximide, trimethadione, diazepam, benzodiazepines, phenacemide, pheneturide, acetazolamide, sulthiame, bromide, and the like,

15

Antiparkinsonism drugs such as levodopa, amantadine and the like,

Narcotic-analgesics such as morphine, heroin, hydromorphone, metopon, oxymorphone, levorphanol, codeine, hydrocodone, xycodone, nalorphine, naloxone, naltrexone and the like,

20

Analgesic-antipyretics such as salicylates, phenylbutazone, indomethacin, phenacetin and the like, and

25 Psychopharmacological drugs such as chlorpromazine, methotrimeprazine, haloperidol, clozapine, reserpine, imipramine, tranylcypromhe, phenelzine, lithium and the like.

30 The amount of medicament that can be used in the rapidly dissolving films, according to the present invention, is dependent upon the dose needed to provide an effective amount of the medicament. The doses to be administered will be known to those skilled in the art and may readily be employed without undue experimentation.

Cold therapeutic minerals, for example zinc, in the form of soluble salts i.e. zinc citrate; or zinc gluconate may be readily incorporated into the aqueous phase of the film/coating in concentrations known to those skilled in the art and may readily be employed without undue experimentation.

5

Thus, formulations of the present invention containing an appropriate pharmaceutical or medical agent have wide reaching applications.

The following examples are provided to exemplify the present invention.

10 Variations and alterations will be readily apparent to those skilled in the art.

Materials

A 1 wt% aqueous solution of oat beta glucan having a purity of >85% was
15 used in the following examples. This solution was either purchased directly from Ceapro Inc. (Edmonton, AB, Canada), or prepared from an oat beta glucan powder (>85% purity) also obtained from Ceapro Inc. Solutions were prepared from the beta glucan powder in the manner described in U.S. Patent No. 6,284,886, using only
preservatives approved for human consumption and pharmaceutical use, including but
20 not limited to potassium sorbate, sorbic acid, benzalkonium chloride, and parabens.

Example 1: Production of pliable β (1-3) β (1-4) glucan thin film strips with rapid dissolving action

25 Phase A was prepared as follows:

1. Heat 10g purified water (or equivalent) to 90C
2. Weigh out 0.4g of Methocel, and wet with 10g hot water, and disperse well
3. Immediately add 100g 1% liquid Beta Glucan (Ceapro)
- 30 4. Add colouring as needed
5. Add 4g powdered or granular Fructose
6. Add 1g of Sucralose
7. Mix well and stir intermittently for 20 minutes.

Phase B was prepared as follows:

To 1g of 95% Ethanol add 0.4g of Atmos 300

Add 0.8g of essential oil flavouring or equivalent and mix well.

- 5 Phases A and B are then thoroughly mixed or emulsified and poured to form thin films. The films were allowed to air dry or alternatively were allowed to dry under streams of warm air. The films were dried to a moisture content in the range 6-8% (w/w). The resulting water activity (A_w) ranged from 0.150 - 0.250.

10 The dry films were cut into strips and removed from the mould. The composition of rapid dissolving films are shown below in Table 3.

TABLE 3: COMPOSITION OF RAPID DISSOLVING FILMS

Ingredient	Function	Name	Wt (g)	wt%	Film%
TF forming agent	water soluble polymer	1% beta glucan	1	0.93	16.7
		0.4% potassium sorbate	0.4	0.37	6.7
Thickening Agent	increase viscosity	Methocel	0.4	0.37	6.7
		(Hydroxypropyl CMC)			
Colouring		FD&C Blue No.2, Green No.3	0.01	0.01	
Sweeteners		Fructose	4	3.72	66.7
		Sucralose	1	0.93	16.7
Solubilizer		95% Ethanol	1	0.93	
Surfactant		Atmos 300	0.4	0.37	6.7
Flavouring	taste	Spearmint Oil	0.8	0.74	11.4

	Antibacterial agent				
Balance		Reverse Osmosis water	98.6	91.63	
Total			107.61	100.00	131.6

Example 2. Production of β (1-3) β (1-4) glucan films with "popping" sensation on dissolving.

Phase A was prepared as follows:

- 5 Weigh out 100g CEAPRO 1% liquid Beta Glucan (Ceapro)
 Add colouring as needed
 Add 10g powdered or granular fructose
 Add carrageenan gum and dispense well
 Mix well and stir intermittently for 10 minutes.

10

Phase B was prepared as follows:

- To 1g of 95% Ethanol add 0.4g of Atmos 300
 Add 0.8g of essential oil flavouring or equivalent and mix well.

- 15 Phases A and B were then combined by adding Phase B to Phase A to form Phase C. Phase C was heated to ~50C for 3 minutes. Phase C was then poured into films while warm.

- The films were allowed to air dry at 38C for 10-16 hours in a forced air dryer
20 or equivalent. The dry films to size and removed from moulds.

Example 3: Production of Production of β (1-3) β (1-4) glucan film coatings by spray application.

- 25 The film compositions were mixed as described in the previous examples.

 The mixed material was applied to materials using a standard aerosol device, providing an immediate coating on the product.

- 30 Using this method, thin coating films applied are fast drying.

Example 4: Production of β (1-3) β (1-4) glucan thin films containing oat extracts.

Thin films were prepared from oat extract with natural anti-histaminic and
5 anti-oxidant activity.

Phase A was prepared as follows:

Heat 10g purified water (or equivalent) to 90C
Weigh out 0.4g of Methocel, and wet with 10g hot water, and disperse well
10 Immediately add 100g 1% liquid Beta Glucan (Ceapro)
Add colouring as needed
Add 4g powdered or granular Fructose
Add 1g of Sucralose
Mix well and stir intermittently for 20 minutes.

15

Phase B was as follows:

An ethanolic extract of oats was Colloidal Oat Extract, (Ceapro Inc.
Edmonton, AB, Canada) manufactured according to the method described in
PCT/EP00/04046 (WO 00/67626).

20

Phase B was added to Phase A to produce a mixture containing 15ppm of
avenanthramide.

Phases A and B are then thoroughly mixed or emulsified and poured to form
25 thin films. The films were allowed to air dry or alternatively were allowed to dry
under streams of warm air.

**Example 5: Production of a β (1-3) β (1-4) glucan film containing an
antimicrobial agent**

30

Phase A was prepared as follows:

Weigh out 50 g CEAPRO 1% liquid beta glucan (Ceapro)

Add 50 mg of the sweetener Sunett® (Nutrinova Inc.; chemical name: Acesulfame K);

Add colouring as needed;

5

Add 20 mg potassium phosphate monobasic (Sigma) previously dissolved in 1g DI/RO water;

10

Add 70 mg cetyl pyridinium chloride (CPC) USP (Sigma) previously dissolved in 5g DI/RO water.

Phase B was prepared as follows:

15

To 1.5g of essential oil flavouring or equivalent, add 0.40g of ATMOS 300 and mix well.

Phase B was added to Phase A, homogenized for 15 seconds and allowed to set overnight.

20 The mixture was shaken lightly to liquefy the emulsion, and poured to form thin films. The films were allowed to dry under streams of warm air at 45°C for six hours.

A typical film of 3 x 2 cm contains approximately 0.7-1.0 mg CPC.

25

Example 6: Production of a β (1-3) β (1-4) glucan film containing Vitamin E

Phase A was prepared as follows:

30

Weigh out 50g CEAPRO 1% liquid beta glucan (Ceapro);

Add 50mg of the sweetener Sunett ® (Nutrinova; chemical name: Acesulfame K);

35

Add colouring as needed;

Add 20 mg potassium phosphate monobasic (Sigma) previously dissolved in 1g DI/RO water;

Add 458 mg alpha-tocopherol succinate (Sigma).

5

Phase B was prepared as follows:

To 1.5g of essential oil flavouring or equivalent, add 0.40g of ATMOS 300 and mix well.

10

Phase B was added to Phase A, homogenized for 15 seconds and allowed to set overnight.

The mixture was shaken lightly to liquefy the emulsion, and poured to form thin films. The films were allowed to dry under streams of warm air at 45°C for six hours. A typical film of 3 x 2 cm contains approximately 1.5mg Vitamin E (equivalent to 3.3 IU or 1/10 the recommended daily allowance).

15

Alternatively, 458mg alpha-tocopherol USP (Roche) may be used instead of alpha-tocopherol succinate. This would be added to Phase B prior to mixing with Phase A.

20

Example 7: Coating of dental floss with a β (1-3) β (1-4) glucan film containing an antimicrobial agent.

25

Unwaxed Dental Floss (Oral-B Ultrafloss™), in the form of a ribbon, filament or braided filament, was sprayed or alternatively dipped in the antimicrobial composition prepared according to Example 5. Excess solution was removed, for example, by squeezing the coated floss between rollers, and the floss allowed to dry in warm air at 60°C for ten to fifteen minutes.

30

Example 8: Enteric coating of Acetyl Salicylic acid (ASA) with a spray dried β (1-3) β (1-4) glucan flavoured film.

35

The film compositions were mixed as described in the previous examples.

ASA tablets were evening sprayed with a light misting with the above beta glucan formulation.

The tablets were then hot air dried for 3 minutes at 75°C.

5

The present invention has been described with regard to preferred embodiments. However, it will be obvious to persons skilled in the art that a number of variations and modifications can be made without departing from the scope of the invention as described in the following claims.

10

**THE EMBODIMENTS OF THE INVENTION IN WHICH AN EXCLUSIVE
PROPERTY OR PRIVILEGE IS CLAIMED ARE DEFINED AS FOLLOWS:**

1. A film comprising:
 - 5 a β (1-3) β (1-4) glucan, and
an effective amount of one, or more than one compound of interest.
2. The film of claim 1, wherein the film comprises about 5 to about 50 wt% of the β (1-3) β (1-4) glucan.
- 10 3. The film of claim 1, wherein the film comprises about 10 to about 35 wt% of the β (1-3) β (1-4) glucan.
4. The film of claim 1, wherein the film comprises about 15 to about 25 wt% of
15 the β (1-3) β (1-4) glucan.
5. The film of claim 1, wherein the film comprises about 0.001 to about 50 wt% of said one, or more than one compound of interest.
- 20 6. The film of claim 1, wherein the film comprises about 5 to about 35 wt% of said one, or more than one compound of interest.
7. The film of claim 1, wherein the film comprises about 10 to about 25 wt% of said one, or more than one compound of interest.
- 25 8. The film of claim 1, wherein the glucan is derived from a cereal grain or a part of a cereal grain.
9. The film of claim 8, wherein the cereal is selected from the group consisting
30 of a cultivar of barley, a cultivar of oat, a cultivar of wheat, a cultivar of rye, a cultivar of sorghum, a cultivar of millet, a cultivar of corn, and a mixture thereof.

10. The film of claim 1, wherein said one, or more than one compound of interest is selected from the group consisting of a pharmaceutically active agent, an antimicrobial agent, a flavouring agent, and a mixture thereof.
- 5 11. The film of claim 1, wherein said one, or more than one compound of interest is a pharmaceutically active agent.
12. The film of claim 11, wherein the pharmaceutically active agent is selected from the group consisting of a non-steroidal anti-inflammatory drug, an anti-tussive, a
10 decongestant, an anti-histamine, an expectorant, an anti-diarrheal, an H₂-antagonist, a nonselective central nervous system depressant, a nonselective central nervous system stimulant, an agent that selectively modifies central nervous system function, a drug for treating Parkinson's disease, a narcotic-analgesic, an analgesic-antipyretic, a psychopharmacological agent, and a mixture thereof.
- 15 13. The film of claim 1, wherein said one, or more than one compound of interest is an antimicrobial agent.
14. The film of claim 13, wherein the antimicrobial agent is selected from the
20 group consisting of triclosan, cetyl pyridinium chloride, sanguinarine, domiphen bromide, a quaternary ammonium salt, a zinc compound, a fluoride, alexidine, octonideine, EDTA, silver nitrate, thymol, methyl salicylate, eucalyptol, menthol, and a mixture thereof.
- 25 15. The film of claim 1, wherein said one, or more than one compound of interest is a flavouring agent.
16. A method of producing a film or an article coated with a film, comprising:
- 30 i) mixing an aqueous solution of a β (1-3) β (1-4) glucan with one, or more than one compound of interest to form a composition;
- ii) drying said composition to form the film, or

- iii) applying said composition to an article and drying the applied composition to produce the article coated with the film.

- 5 17. The method of claim 16, wherein after said glucan and said one, or more than one compound of interest are mixed they are left undisturbed for a period of time sufficient to form a homogeneous composition.
- 10 18. The method of claim 17, wherein said composition is an emulsion.
19. The method of claim 18, wherein said emulsion is formed in the absence of an emulsifying agent.
- 15 20. The method of claim 16, wherein said aqueous solution is formed using a glucan having a purity in the range of from about 65% to about 100%.
21. The method of claim 16, wherein said aqueous solution is formed using a glucan having a purity in the range of from about 75% to about 100%.
- 20 22. The method of claim 16, wherein said aqueous solution is formed using a glucan having a purity in the range of from about 85% to about 100%.
23. An article coated with one, or more than one layers of the film of claim 1.
- 25 24. The article of claim 23, wherein said one, or more than one compound of interest is selected from the group consisting of a pharmaceutical agent, an antimicrobial agent, a flavouring agent, and a mixture thereof.
25. The article of claim 23, wherein the article is a pharmaceutical formulation in
30 the form of a tablet or capsule.
26. The article of claim 23, wherein said one, or more than one compound of interest is a flavouring agent.

27. The article of claim 23, wherein each one of said one, or more than one layers comprises a separate flavouring agent.
28. The article of claim 23, wherein the article is a stent.
- 5 29. The article of claim 23, wherein the article is a tissue paper.
30. The article of claim 23, wherein the article is dental floss.
- 10 31. A pharmaceutical formulation comprising the film of claim 1.
32. The formulation of claim 31, wherein the formulation is in the form of a patch suitable for delivery of one, or more than one compound of interest.
- 15 33. A sweetener composition comprising:
- a β (1-3) β (1-4) glucan, and
- an effective amount of a sweetener.
- 20 34. The composition of claim 33, wherein the sweetener is effective in producing a favourable stimulatory response in the absence of other sweeteners.
35. The composition of claim 34, wherein the sweetener is Acesulfame-K.
- 25

1/1

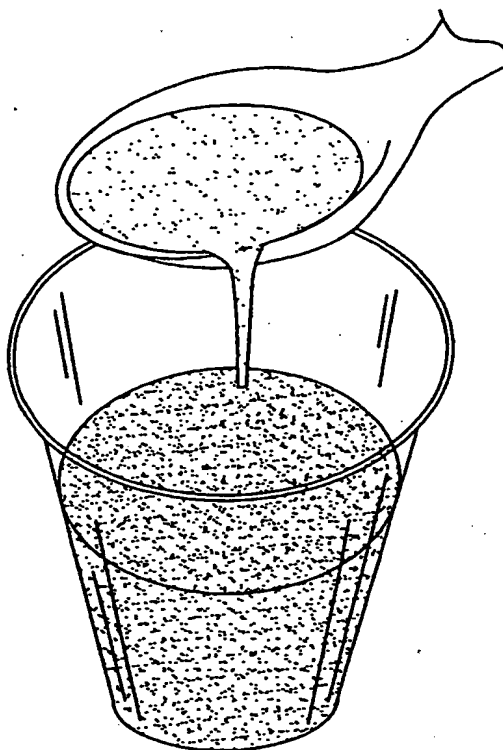


FIG. 1

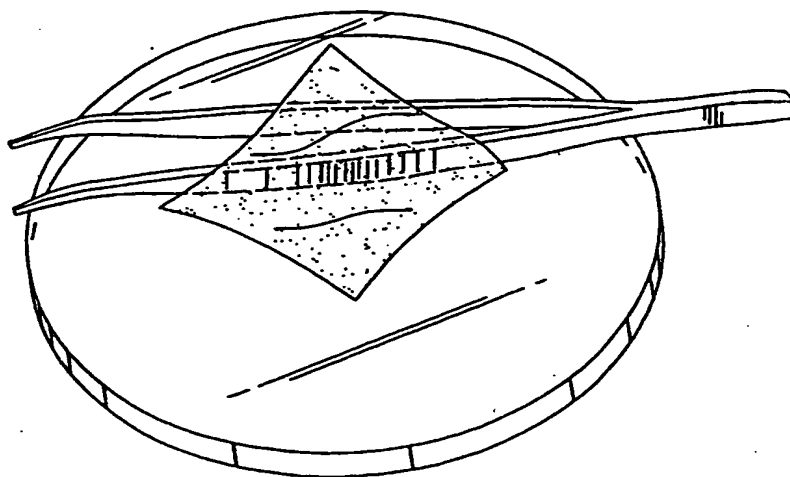


FIG. 2

INTERNATIONAL SEARCH REPORT

national Application No

PCT/CA 02/01896

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C08L5/00 C08J5/18 C09D105/00 A61K9/70 A61K9/36

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61K C08J C08L C09D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

WPI Data, PAJ, CHEM ABS Data, EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>PATENT ABSTRACTS OF JAPAN vol. 1996, no. 11, 29 November 1996 (1996-11-29) & JP 08 169817 A (FUJI CAPSULE KK), 2 July 1996 (1996-07-02) abstract & DATABASE WPI Week 199636 Derwent Publications Ltd., London, GB; AN 1996-358464 & JP 08 169817 A (FUJI CAPSULE KK), 2 July 1996 (1996-07-02) abstract</p> <p style="text-align: center;">-/-</p>	<p>1,5-7, 10,11, 13,15, 16, 23-26,31</p>

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

* Special categories of cited documents:

A document defining the general state of the art which is not considered to be of particular relevance

E earlier document but published on or after the international filing date

L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

O document referring to an oral disclosure, use, exhibition or other means

P document published prior to the international filing date but later than the priority date claimed

T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

Z document member of the same patent family

Date of the actual completion of the international search

7 February 2003

Date of mailing of the international search report

10/03/2003

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax (+31-70) 340-3016

Authorized officer

Mazet, J-F

INTERNATIONAL SEARCH REPORT

national Application No

PCT/CA 02/01896

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 98 13056 A (INDUSTRIAL RESEARCH LIMITED) 2 April 1998 (1998-04-02) abstract page 4, line 15 - line 19 page 4, line 25 - line 29 page 8, line 1 - line 5 page 9, line 21 - line 24 page 9, line 27 - line 30 page 12; example 6 example 12 ---	1,8,9, 16,20-22
X	US 4 774 093 A (PROVONCHEE ET AL.) 27 September 1998 (1998-09-27) abstract column 1, line 1 - line 25 column 3, line 13 - line 33 column 9, line 1 - line 15 column 9, line 31 - line 40 ---	1,16,23
A	US 5 676 967 A (WILLIAMS ET AL.) 14 October 1997 (1997-10-14) cited in the application column 2, line 19 - line 35 claims ---	1-16,23
A	FR 2 537 496 A (KABUSHIKI KAISHA HAYASHIBARA SEIBUTSU KAGAKU KENKYUJO) 15 June 1984 (1984-06-15) page 3, line 27 - line 31 page 4, line 12 - line 35 page 5, line 14 - line 20 claims ---	1-35
P,A	WO 02 076358 A (BRENNEN MEDICAL INC) 3 October 2002 (2002-10-03) page 5, line 14 - line 17 page 6, line 6 - line 17 page 12, line 26 - page 13, line 9 page 15, line 3 - line 13 page 17, line 19 - line 24 ---	1,8,9, 16,23,28
A	US 6 323 338 B1 (POTTER ET AL.) 27 November 2001 (2001-11-27) cited in the application column 1, line 10 - line 20 column 2, line 30 - line 41 column 8, line 54 - line 67 ---	1
A	WO 00 18365 A (WARNER-LAMBERT COMPANY) 6 April 2000 (2000-04-06) cited in the application claims --- -/--	1,10,11, 13-16,31

INTERNATIONAL SEARCH REPORT

International Application No

PCT/CA 02/01896

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,A	WO 02 072687 A (MARINE BIOPRODUCTS INTERNATIONAL) 19 September 2002 (2002-09-19) page 7, line 29 - page 8, line 12 page 14, line 1 - line 16 page 27, line 1 - line 32	1,9-11, 13,16, 23,24,31
A	EP 0 328 317 A (TAKEDA CHEMICAL INDUSTRIES LTD) 16 August 1989 (1989-08-16) abstract page 2, line 19 - line 25 page 3, line 30 - line 58 examples 1,2,4 claims 1,4	1-7,10, 15,16, 33,34
A	WO 01 57092 A (GRANATE SEED LIMITED) 9 August 2001 (2001-08-09) page 4, line 21 - line 26 examples 3,5 page 24, line 10 - line 17	1,8,9
A	WO 00 56268 A (NEW ZEALAND INSTITUTE FOR CROP AND FOOD RESEARCH LIMITED) 28 September 2000 (2000-09-28) page 1, line 5 - line 9 page 7, line 28 - line 30 page 8, line 20 - line 22	1,8,9

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/CA 02/01896

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
JP 08169817	A	02-07-1996	NONE	
WO 9813056	A	02-04-1998	AU 731498 B2 AU 4404197 A CN 1235552 A , B EP 0928196 A1 JP 2001501996 T WO 9813056 A1 NZ 334845 A US 6426201 B1 US 2002192770 A1	29-03-2001 17-04-1998 17-11-1999 14-07-1999 13-02-2001 02-04-1998 22-12-2000 30-07-2002 19-12-2002
US 4774093	A	27-09-1988	DE 3621303 A1 GB 2176795 A , B JP 62030102 A SE 8602805 A	08-01-1987 07-01-1987 09-02-1987 26-12-1986
US 5676967	A	14-10-1997	NONE	
FR 2537496	A	15-06-1984	JP 60042018 A JP 59111817 A FR 2537496 A1 GB 2134441 A , B US 4562020 A	06-03-1985 28-06-1984 15-06-1984 15-08-1984 31-12-1985
WO 02076358	A	03-10-2002	US 2002055697 A1 WO 02076358 A1	09-05-2002 03-10-2002
US 6323338	B1	27-11-2001	AU 3365600 A CA 2361308 A1 EP 1155042 A2 WO 0049052 A2 US 6485945 B1 US 2002016454 A1	04-09-2000 24-08-2000 21-11-2001 24-08-2000 26-11-2002 07-02-2002
WO 0018365	A	06-04-2000	AU 6059399 A BR 9914064 A CA 2339353 A1 CN 1321080 T EE 200100186 A EP 1115372 A2 JP 2002525306 T NO 20011476 A US 2003008008 A1 WO 0018365 A2 US 2001022964 A1	17-04-2000 19-06-2001 06-04-2000 07-11-2001 15-08-2002 18-07-2001 13-08-2002 22-03-2001 09-01-2003 06-04-2000 20-09-2001
WO 02072687	A	19-09-2002	US 2002019447 A1 WO 02072687 A2	14-02-2002 19-09-2002
EP 328317	A	16-08-1989	CN 1036967 A EP 0328317 A1 JP 1289457 A	08-11-1989 16-08-1989 21-11-1989
WO 0157092	A	09-08-2001	AU 3623601 A EP 1274735 A1 WO 0157092 A1 AU 8270801 A	14-08-2001 15-01-2003 09-08-2001 14-01-2002

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/CA 02/01896

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 0157092	A	WO 0202645 A1	10-01-2002
WO 0056268	A	AU 3338000 A	09-10-2000
	28-09-2000	WO 0056268 A2	28-09-2000

HAYASHIBARA SEIMITSU

71 June 1984 - 1989

1989 - 1990

1990 - 1991

1991 - 1992

1992 - 1993

1993 - 1994

1994 - 1995

1995 - 1996

1996 - 1997

1997 - 1998

1998 - 1999

1999 - 2000

2000 - 2001

2001 - 2002

2002 - 2003

2003 - 2004

2004 - 2005

2005 - 2006

2006 - 2007

2007 - 2008

2008 - 2009

2009 - 2010

2010 - 2011

2011 - 2012

2012 - 2013

2013 - 2014

2014 - 2015

2015 - 2016

2016 - 2017

2017 - 2018

2018 - 2019

2019 - 2020